

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

021567

7590

09/28/2004

WELLS ST. JOHN P.S. 601 W. FIRST AVENUE, SUITE 1300 SPOKANE, WA 99201 EXAMINER NHU, DAVID

ART UNIT

PAPER NUMBER

DATE MAILED: 09/28/2004

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO. CONFIRMATION I	
10/609,279	06/26/2003	Demetrius Sarigiannis	· MI22-2264	8299

TITLE OF INVENTION: METHODS OF FORMING LAYERS OVER SUBSTRATES; AND METHODS OF FORMING TRENCHED ISOLATION REGIONS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	12/28/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATEN PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHT THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPO PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM TH MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THE STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOV REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (O AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WIL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is n claiming SMALL ENTITY status, check box 5a on Part B - Fee Transmittal and pay the PUBLICATION FEE (if required) and 1 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) w your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed wh appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address

maintenance fee notification	ons.							arate "FEE ADDRESS"
CURRENT CORRESPONDED		te: Use Block I for	any change of address)		N	ote: A certificate o	f mailing can only be used f	or domestic mailings of
				Note: A certificate of mailing can only be used for domestic mailings of Fee(s) Transmittal. This certificate cannot be used for any other accompany papers. Each additional paper, such as an assignment or formal drawing, m have its own certificate of mailing or transmission.				
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WELLS ST. JO						Co	ertificate of Mailing or Tran	smission
601 W. FIRST A		TTE 1300			I S	hereby certify that tates Postal Service	this Fee(s) Transmittal is being with sufficient postage for fire	g deposited with the Un
SPOKANE, WA	99201			I hereby certify that this Fee(s) Transmittal is being deposited with the Un States Postal Service with sufficient postage for first class mail in an envel addressed to the Mail Stop ISSUE FEE address above, or being facsim transmitted to the USPTO (703) 746-4000, on the date indicated below.				
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NHU	, DAVID		2818		4	138-700000		
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				(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is 3				
"Fee Address" indic PTO/SB/47; Rev 03-02	ation (or "Fee A	Address" Indica	tion form					
Number is required.			or a outstance		name will l		<u> </u>	
3. ASSIGNEE NAME AN					-			
PLEASE NOTE: Unler recordation as set forth	ss an assignee in 37 CFR 3.11	is identified be Completion of	low, no assignee of this form is NO	data will app I a substitute	ear on the for filing a	patent. If an assig in assignment.	nee is identified below, the	document has been filed
(A) NAME OF ASSIG	NEE		(В	) RESIDENC	CE: (CITY	and STATE OR CO	OUNTRY)	
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Please check the appropria	te assignee cate	egory or categor	ries (will not be pr	inted on the p	patent):	🗖 Individual 🔲 (	Corporation or other private gr	oup entity Governm
4a. The following fee(s) ar	e enclosed:		4b	. Payment of	Fee(s):			
Issue Fee		A check in the amount of the fee(s) is enclosed.						
Publication Fee (No	small entity di	scount permitte	d)	Payment by credit card. Form PTO-2038 is attached.				
Advance Order - # of Copies				The Director is hereby authorized by charge the required fee(s), or credit any overpayment Deposit Account Number (enclose an extra copy of this form).				
5. Change in Entity Statu								1,
a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.								
The Director of the USPTO NOTE: The Issue Fee and interest as shown by the re	O is requested to Publication Fee cords of the Un	o apply the Issu e (if required) v ited States Pate	te Fee and Publicate will not be accepted and Trademark	tion Fee (if and from anyon Office.	ny) or to re e other that		sly paid issue fee to the applic gistered attorney or agent; or t	
Authorized Signature Date								
Typed or printed name							n No	
I his collection of informal an application. Confidentia submitting the completed this form and/or suggestion Box 1450, Alexandria, Vir	tion is required ality is governed application for reducing ginia 22313-14	by 37 CFR 1.3 d by 35 U.S.C. n to the USPT this burden, sh 50. DO NOT	11. The information 122 and 37 CFR O. Time will vary ould be sent to the SEND FEES OR (	on is required 1.14. This co depending u c Chief Infon COMPLETEI	to obtain o llection is o pon the inc mation Offi D FORMS	or retain a benefit by estimated to take 12 dividual case. Any c icer, U.S. Patent and TO THIS ADDRES	the public which is to file (an minutes to complete, includi comments on the amount of the Trademark Office, U.S. Dept. S. SEND TO: Commissioner	d by the USPTO to proc ng gathering, preparing, ime you require to comp partment of Commerce, P for Patents, P.O. Box 14

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WELLS ST. JO			NHU, DAVID	
601 W. FIRST AVENUE, SUITE I SPOKANE, WA 99201			ART UNIT	PAPER NUMBER
			2818	
			DATE MAILED: 09/28/200	4

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after t mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a h months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date th determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retriev (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



### United States Patent and Trademark Office

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WELLS ST. JOHN P.S.					NHU, DAVID		
601 W. FIRST AVENUE, SUITE 13 SPOKANE, WA 99201		OITE 1300	ART UNIT	PAPER NUMBER			
					2818		

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## Notice of Fee Increase on October 1, 2004

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2004, then t amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" because some fees w increase effective October 1, 2004. See Revision of Patent Fees for Fiscal Year 2005; Final Rule, 69 Fed. Reg. 5260 52606 (May 10, 2004).

The current fee schedule is accessible from WEB site (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in vie of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processi delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowan is to be filed on or after October 1, 2004 (or mailed with a certificate of mailing on or after October 1, 2004), t issue fee paid should be the fee that is required at the time the fee is paid. See Manual of Patent Examining Procedu (MPEP), Section 1306 (Eighth Edition, Rev. 2, May 2004). If the issue fee was previously paid, and the response the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue f now due, then the difference between the issue fee amount at the time the response is filed and the previously-pa issue fee should be paid. See MPEP Section 1308.01.

Effective October 1, 2004, 37 CFR 1.18 is amended by revising paragraphs (a) through (c) to read as set forth below

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

By a small entity (Sec. 1.2/(a))	\$685.00
By other than a small entity	\$1,370.00
(b) Issue fee for issuing a design patent:	•

(c) Issue fee for issuing a plant patent:

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of t Office of Patent Publication at (703) 305-8283.